



**Legal, Regulatory, and Financial Management  
NURS 5335  
Fall 2025**

**Scheduled Class Days and Times: Online**

**Instructor's Name:** Anna Hanson, PhD, RN, ACUE

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**Office Hours:** Online via Zoom, and by Appointment Mondays-5:00-8:00pm CST

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Additional days and times may be arranged upon request. Please email faculty to make arrangements/obtain Zoom link.

**Course Description:** Focuses on the legal, ethical, regulatory, and fiscal environment faced by nurse administrators. Enables the professional nurse to manage the ethical, legal, and regulatory issues facing healthcare organizations and actively participate in the fiscal management of healthcare divisions and organizations.

**Prerequisites:** NURS 5331 or enrolled in the PhD in Nursing program.

**Student Learning Outcomes:**

Upon successful completion of this course, the student will be able to:

1. Participate in health and public policy development and analysis impacting healthcare organizations.
2. Examine ethical, legal and regulatory perspectives in the analysis of the professional practice environment and organizational culture.
3. Apply healthcare economics to the strategic planning process with consideration of current economic, legal, and political influences.
4. Advocate for a healthy work environment incorporating informatics, and current emerging technologies within the legal, regulatory, ethical, and fiscal operations of the health care organization.
5. Formulate a business plan utilizing business and economic principles and practices.

**Required Textbooks and Readings:**

If you are in a clinical course, all students are required to have an active InPlace subscription.

1. Knighten, M. L. & Waxman, K. T. (Editors) (2024). *Penner's economics and financial management for nurses and nurse leaders (4<sup>th</sup> Ed.)*. Springer Publishing Co., LLC.  
ISBN: 9780826179128, eBook ISBN: 9780826179135. doi:10.1891/9780826179135
2. Pozgar, G. D. (2023). *Legal aspects of healthcare administration (14th ed.)*. Burlington, MA: Jones and Bartlett Publishers. ISBN: 978-1284231526
3. American Psychological Association. (2020). *Publication manual of the American Psychological Association (7th ed.)*. <https://doi.org/10.1037/0000165-000>
4. Supplemental Resources: <https://apastyle.apa.org/products/supplemental-resources2>.

#### **Assignments and Weights/Percentage/Point Values (Should equal 100%)**

| <b>Criteria for Evaluation:</b>                                                                                                                                                                                                                           | <b>Percentage of Grade:</b> |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------|
| 1. Discussion Boards (Two @ 10% Each)                                                                                                                                                                                                                     | 20%                         |
| 2. Finance Assignments (Two @ 20% Each)                                                                                                                                                                                                                   | 40%                         |
| 3. APA Quiz and Team Business Plan (including Participation)                                                                                                                                                                                              | 20%                         |
| 4. Healthcare Legal Issues Presentation (Group grade & Participation)                                                                                                                                                                                     | 10%                         |
| <b>Clinical Requirements</b>                                                                                                                                                                                                                              |                             |
| 5. Clinical Reflective Journal (2 @ 5% each)                                                                                                                                                                                                              | 10%                         |
| 6. Clinical (to include satisfactory completion of 37.5 clinical hours and submission of required clinical logs to Canvas and inPlace, a clinical journal, a preceptor agreement and preceptor, and clinical agency evaluation by due dates on Calendar.) | Pass/Fail                   |

#### **Grading Scale:**

Specific guidelines and grading criteria for all assignments are in the Modules. Final grades for the course will be determined based upon the following point assignments:

- A - 90-100
- B - 80-89
- C - 70-79
- D - 60-69
- F - Below 60

Grades will not be rounded when calculating the average (79.5 is not rounded to 80, and 89.5 is not rounded to 90). Students are required to achieve an average of 80% (B) to complete the course successfully.

Although the university policy allows 60 days for grade appeals, the School of Nursing follows a stricter timeline of 10 days to facilitate students' timely progression through the curriculum. In the case of extenuating circumstances, please consult the Associate Dean of Academic Affairs for guidance.

**Academic Integrity:** Cheating of any kind, as defined in Section 8 of the UT Tyler Manual of Policies and Procedures (MOPP) for Student Affairs (<https://www.uttyler.edu/mopp/>), will not be tolerated. Consequences may include:

- reprimand
- exam failure
- course failure
- expulsion from the Nursing program
- expulsion from the University
- other consequences as assigned

**Exam and homework materials, questions, and problems are the intellectual property of faculty, UT Tyler, or publishers.**

- These materials may not be distributed without permission.
- Distributing or uploading them to online resources destroys the integrity of the assignment and the course, allowing others an unfair advantage by letting them view the materials.
- Uploading these materials to online resources is a violation of UT Tyler's academic misconduct policies and may result in formal conduct charges.
- Sanctions for uploading or otherwise divulging the contents of these materials can include:
  - a reduced or failing grade on an assignment
  - a reduced or failing grade for the course
  - removal from the Nursing program
  - removal from UT Tyler

**Late Policy:** 5% will be deducted each day an assignment is past due unless prior arrangements have been made with your course faculty. Extenuating circumstances may apply.

**Repeating a Course:** Students repeating this course may not use previously submitted assignments nor utilize the same patients for an assignment. Submitting the same or slightly modified assignments from previous semesters is considered self-plagiarism and is subject to academic discipline, including failing the assignment or the course.

**Attendance and Make-up Policy:** Attendance/participation is expected. Make-up for exams, quizzes, assignments, and missed clinical time is at the instructor's discretion.

#### **Graded Course Requirements Information:**

The major assignments in this course will require students to:

1. Review and complete an APA 7<sup>th</sup> edition quiz
2. Write two Discussion Boards (Work engagement & relational ethics, and labor relations).
3. Complete two finance assignments. (see Canvas)
4. Write a group business plan.
5. Prepare a group healthcare legal topic professional presentation.
6. Participation is defined as full participation in group assignments and documented in Canvas through the group pages and assignments (Group discussion, group assignments, peer evaluation, collaborations, and file uploads that demonstrate student participation).

**Please Note:** Detailed information along with grading rubrics for course assignments are provided in Canvas.

**Clinical Course Requirements Information:**

1. The clinical portion of this course requires the following:
  - a. Completion of 37.5 clinical hours with an approved preceptor.
  - b. Completion of clinical documentation as outlined in Canvas including clinical objectives, clinical journal, a signed clinical log, and clinical hours documented in inPlace Clinical Placement Software. Additionally, a clinical evaluation will be required at the end of the course by the student of the preceptor and clinical agency.

**Please Note:** Detailed information along with grading rubrics for course assignments will be provided in Canvas.

**Important Course Dates:**

**Classes Begin:** Monday, September 1, 2025 (15-week session)

**Census Date (withdraw without penalty):** September 11, 2025 (15-week session)

**Last Date to Withdraw:** November 4th, 2025 (15-week session). Students please notify your course faculty and contact your advisor.

**Final Exam:** There is no final exam for this course.

### Calendar of Topics, Readings, and Due Dates:

**All assignments are linked in Canvas Calendar. Assignments due by Midnight (CST)**

| Dates    | Topics/Content                                                                                                                                         | Assignments: <b>Green = Discussion Board &amp; Legal</b><br><b>Blue = Finance, Red = Clinical</b>                                                                                                                                                                          | Due Date                                                  |
|----------|--------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|
| Module 1 | Introduction<br>Managed Care and National Health Insurance,<br>Economics of HealthCare,<br>Health Insurance and Reimbursement<br>Managed Care and ACOs | <b>Readings:</b> Pozgar (2023) Chapter 21<br><a href="#">Knighten &amp; Waxman (2024) Chapters 1, 2, &amp; 3</a>                                                                                                                                                           |                                                           |
|          |                                                                                                                                                        |                                                                                                                                                                                                                                                                            |                                                           |
|          |                                                                                                                                                        |                                                                                                                                                                                                                                                                            |                                                           |
| Module 2 | Writing a Business Plan<br>(Building a Business Case)                                                                                                  | <b>Readings:</b> Knighten & Waxman (2024) Chapter 9<br><b>Clinical Self Evaluation Form</b><br><b>Introduce self on DB #0</b><br><br><b>Write 3-4 Clinical Objectives</b>                                                                                                  | <b>9/6/25</b><br><br><b>9/13/25</b><br><br><b>9/14/25</b> |
| Module 3 | Work Engagement in Nursing Practice<br><br>Government, Law & Ethics<br><br>Health Care Ethics<br><br>Tort Law-Negligence                               | <b>Readings:</b> Keyko, K. (2014). Work engagement in nursing practice: A relational ethics perspective.<br>Pozgar (2023) Chapters 2-4<br><br><b>*One group member to submit group Business Plan contract</b><br><br><b>Identify Team Name</b><br><br><a href="#">page</a> | <br><br><br><br><br><b>5/24/25</b>                        |
|          |                                                                                                                                                        |                                                                                                                                                                                                                                                                            |                                                           |
| Module 4 | Intentional Torts<br>Tort Reform & Risk Reduction<br>Criminal Aspects of Health Care<br>Civil Procedures and Trial Practice                            | <b>Readings:</b> Pozgar (2023) Chapters 4, 5, 6, & 8<br><b>Legal Issues DB #1</b>                                                                                                                                                                                          | <b>9/27/25</b>                                            |
| Module 5 | Quantifying Nursing Care, Staffing, and Productivity                                                                                                   | <b>Readings:</b> Knighten & Waxman (2024) Chapter 3                                                                                                                                                                                                                        |                                                           |
| Module 6 | Budget Planning<br><br>Reporting and Managing Budgets                                                                                                  | <b>Readings:</b> Knighten & Waxman (2024) Chapters 4 & 5                                                                                                                                                                                                                   |                                                           |
| Module 7 | Special Purpose, Capital and other Budgets<br>Cost Benefit Analysis                                                                                    | <b>Readings:</b> Knighten & Waxman (2024) Chapters 6 & 7<br><br><b>Clinical Reflective Journal First Submission</b><br><br><b>Be sure to enter clinical dates in inPlace or Exxact Log Book (depending on the software you use)</b>                                        | <b>10/18/25</b>                                           |
| Module 8 | Assessing Financial Health                                                                                                                             | <b>Readings:</b> Knighten & Waxman (2024) Chapter 8<br><b>Finance Assignment #1 over Knighten &amp; Waxman (2024) Chapters 1,2,3, &amp; 9</b>                                                                                                                              | <b>10/25/25</b>                                           |

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| Module 9           | Contracts and Antitrust Corporate Structure & Legal Issues                                                                                               | <b>Readings:</b> Pozgar (2023) Chapters 7 & 9<br><b>One member of team to submit to assignment link</b><br><b>Submission: Legal Presentation Team contract to assignment link</b>                                                                                                                                                                       | 11/1/25                       |
| Module 10          | Medical Staff Organization and Malpractice, Nursing and the Law, Hospital Departments and Allied Professionals, and Professional Liability Insurance     | <b>Readings:</b> Pozgar (2023) Chapters 10-12 & 22                                                                                                                                                                                                                                                                                                      |                               |
| Module 11          | Information Management and Patient Records,                                                                                                              | <b>Readings:</b> Pozgar (2023) Ch. 13<br><b>Rough Draft of Team Business Plan Due</b>                                                                                                                                                                                                                                                                   | 11/15/25                      |
| Module 12          | Patient Consent, Patient Rights and Responsibilities, &                                                                                                  | <b>Finance Assignment #2 over Knighten &amp; Waxman (2024) Chapters 8, 9 &amp; 12</b>                                                                                                                                                                                                                                                                   | 11/22/25                      |
| Module 13          | Emerging Technology in Healthcare                                                                                                                        |                                                                                                                                                                                                                                                                                                                                                         |                               |
| Module 13 Week of: | Human Resources, Managed Care and National Health Insurance, and Professional Liability Insurance                                                        | <b>Readings:</b> Pozgar (2023) Chapters 14, 15, 16, & 19                                                                                                                                                                                                                                                                                                |                               |
| Module 14 Week of: | Ethical Issues and Financial Issues in International Healthcare Systems. Health Policy and Future Trends Information Technology, Artificial Intelligence | <b>See Canvas for readings.</b>                                                                                                                                                                                                                                                                                                                         |                               |
|                    |                                                                                                                                                          | <b>Readings:</b> Pozgar (2023) Chapters 20, 21, & 22<br><b>Team Legal Abstract</b><br><b>PowerPoint Presentation</b><br><b>Submit to Assignment link and the Main Discussion Board.</b><br><b>Legal Issues DB #2</b>                                                                                                                                    | 12/6/25<br>12/6/25<br>12/6/25 |
| Extra              | Nurse Adm Certification                                                                                                                                  | <b>Readings:</b> Knighten & Waxman (2024) Chapters 12 & 13<br>Pozgar (2023) Page 627<br>Final Clinical Reflective Journal and clinical log (in Canvas and inPlace/Exxat) (Can <b>submit any time before due date.</b> )<br><b>Final Team Business Plan Due. One team member to submit. All team members to submit peer evaluation of participation.</b> | 12/6/25<br>12/6/25            |
|                    |                                                                                                                                                          |                                                                                                                                                                                                                                                                                                                                                         |                               |

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|  | <p><b>Readings :</b> External Link: <a href="https://www.nursingworld.org/our-certifications/">https://www.nursingworld.org/our-certifications/</a></p> <p>I really encourage you to take this time to review the materials for the Nurse Executive Certification Exam.</p> |  |
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**Artificial Intelligence in Coursework:** UT Tyler is committed to exploring and using artificial intelligence (AI) tools as appropriate for the discipline and task undertaken. We encourage discussing AI tools' ethical, societal, philosophical, and disciplinary implications. All uses of AI should be acknowledged, as this aligns with our commitment to honor and integrity, as noted in UT Tyler's Honor Code. Faculty and students must not use protected information, data, or copyrighted materials when using any AI tool. Additionally, users should be aware that AI tools rely on predictive models to generate content that may appear correct but is sometimes shown to be incomplete, inaccurate, taken without attribution from other sources, and/or biased. Consequently, an AI tool should not be considered a substitute for traditional approaches to research. You are ultimately responsible for the quality and content of the information you submit. Misusing AI tools that violate the guidelines specified for this course (see below) is considered a breach of academic integrity. The student will be subject to disciplinary actions as outlined in UT Tyler's Academic Integrity Policy.

For this course, **AI is permitted only for specific assignments or situations, and appropriate acknowledgment is required.** To best support your learning, you must **generate** all graded assignments by yourself to assist in your learning. This exclusion of other resources to help generate assignments includes artificial intelligence (AI). Refrain from using AI tools to **generate** any course content (e.g., text, video, audio, images, code, etc.) for an assignment or classroom assignment.

You may, however, use an AI Writing Assistant (like Grammarly) **to help check for spelling, grammatical errors, and best word choice once your document is written.** If you do use a tool like Grammarly for edits and fine-tuning, you **MUST** acknowledge this in your reference section and indicate what assistance was given. Examples will be provided in the course.

**School of Nursing Policies and Additional Information:**

[https://www.uttyler.edu/nursing/college/student\\_guide\\_and\\_policies.php](https://www.uttyler.edu/nursing/college/student_guide_and_policies.php)

**Student Resources and University Policies are provided in Canvas.**

(This statement refers to the UT Tyler Syllabus Module in Canvas required per Dr. Swain.)