Instructor Name: Dr. Chialing Hsieh (Pronounce: Charlene Shay)
Office Location: Soules College of Business 350.14
Email Address: chsieh@uttyler.edu (Best Contact Method)

Office Hours: 12:30 pm-1:50 pm on Tuesday & Thursday, or by appointment. Contact will be also via e-mail. You can expect a response within 24 hours except for those sent on Saturday and Sunday as well as those sent after 5 pm on Friday, when you can expect a response within 48 hours.

Classroom Location: Soules College of Business 111
Class Meeting Days & Times: Tuesday, Thursday 11:00AM – 12:20PM
Prerequisites: ECON 2301 or ECON 2302, and ACCT 2301

COURSE OBJECTIVES:
1. KNOWLEDGE OBJECTIVES OF THIS COURSE INCLUDE:
   1. To develop analytical skills relevant to valuation of equity and fixed-income securities.
   2. To learn to combine various securities in order to meet specific investment objectives.
2. COMPETENCIES TO BE DEMONSTRATED IN THIS COURSE INCLUDE:
   1. COMPUTER-BASED SKILLS:
      A. WORD PROCESSING: Required for producing project reports.
      B. SPREADSHEET: Required for data entry and data analysis.
      C. PRESENTATION SOFTWARE: Not demonstrated in this class.
      D. DATABASE MANIPULATION: Required for equity data analysis.
      E. INTERNET SEARCH SKILLS: Required for project and stock research.
   2. COMMUNICATION SKILLS:
      A. WRITTEN
         a. REPORT ORGANIZATION: Required for project reports.
         b. REFERENCING: Required project reports.
      B. ORAL: Not demonstrated in this class.
   3. INTERPERSONAL SKILLS:
      A. TEAM-BASED ABILITIES – INTRA-GROUP AND INTER-GROUP COOPERATION: Not demonstrated in this class.
      B. LEADERSHIP: Not demonstrated in this class.
      C. CONFLICT RESOLUTION: Not demonstrated in this class.
   4. PROBLEM SOLVING (CRITICAL THINKING):
      A. CONCEPTUAL THINKING: Required in class discussion dynamics.
B. GATHERING AND ANALYZING DATA: Required for projects.
C. QUANTITATIVE/STATISTICAL SKILLS: Demonstrated in investment analysis.
D. CREATIVITY AND INNOVATION: Demonstrated in simulation and term-project for the course.

5. ETHICAL ISSUES IN DECISION MAKING AND BEHAVIORS: Demonstrated in class discussions regarding fiduciary responsibilities of investment analysts.

6. PERSONAL ACCOUNTABILITY FOR ACHIEVEMENT:
   A. MEETING DEADLINES: Evaluated by instructor.
   B. QUALITY OF WORK PERFORMED: Evaluated via graded outcomes of student work and exam scores.

7. COMPETENCE IN BASIC BUSINESS PRINCIPLES:
   A. COMPETENCE IN MAJOR FIELD AND GROUNDING IN OTHER MAJOR CORE AREAS: Course content integrates economics and accounting functions into investment decision making.
   B. AWARENESS OF INTERNATIONAL AS WELL AS DOMESTIC IMPLICATIONS OF BUSINESS DECISIONS: Course content examines international political and foreign exchange risk in context of investment decisions.
   C. UNDERSTANDING AND APPRECIATION OF STRATEGIC IMPACT OF BUSINESS DECISIONS: Course includes content discussion which ties prudent investment decisions to successful strategic outcomes for the firm.

3. OUTCOMES FOR STUDENTS TO SUCCESSFULLY COMPLETE THIS COURSE INCLUDE:
   1. Understand the securities valuation process, using appropriate valuation techniques under appropriate circumstances.
   2. Be able to form portfolios based on investor risk preferences and other constraints.
   3. Be familiar with the fiduciary responsibility to the client as an investment analyst

Course Structure:
To achieve course goals, students will be required to:

1. Read Powerpoint course slides and textbook chapters.
2. Attend Classes
3. Take every test and quiz.
4. Visit course on Canvas regularly.

Course Evaluation Methods
This course will utilize the following instruments to determine student grades and proficiency of the learning outcomes for the course.

1. Four tests: The lowest test score will be dropped from the computation of your course grade.

*In-class tests* will consist of written tests designed to measure students’ knowledge and understanding of course material. Exam questions will consist of primarily of short-answer questions and include some problems and essay-type questions.

*Online tests* will be designed to measure students’ knowledge and understanding of course material. Tests will generally cover the topics discussed or presented in class, however, other material may be identified as exam material when appropriate.

Once you begin a test, you will be given a certain amount of time, depending on its length, to complete it.
You can take the test at any time during the test period. Note that you are allowed only ONE attempt per test so please make sure you study the module material thoroughly before you begin and can devote sufficient time to complete the test. Unless specified otherwise, each test period generally begins at noon on Sunday and ends at midnight on Thursday. Please note that each test will be only available for a limited time so check the course calendar and plan accordingly. If you cannot finish a test because of factors outside of your control such as a blackout or computer crash, you may ask the instructor for only one other chance to take the test only if fewer than 50% of the questions have been answered. For all other cases, including situations where the instructor is not able to re-set your test (because the request came too close to the deadline) your grade will be prorated based on your performance on the portion of the test completed. As an example, if you manage to complete 60% of the test when something prevents you from going any further and you got 70% on the questions you answered, your score will be 70% on the entire test. All material covered in readings, slides are considered fair game for tests.

2. Assignments and Quizzes: At the discretion of the instructor, there may be various pop-quizzes, homework assignments. These activities are intended to supplement and reinforce course material. A student may be responsible for completing an activity in or outside of class.

3. Extra Credit: Students may be given opportunities to earn extra credit at the instructor’s discretion. Some possible sources of extra credit include online bonus quizzes to be announced on Canvas.

Exam policy:
Exams and quizzes should be taken as schedule; assignments should be submitted by the due date. No makeup examinations, quizzes, or assignments will be allowed except for documented emergencies (official evidence documents are required). The student should make every effort to contact the instructor prior to the exam. All requests for make-up or accommodation made after the due date for an assignment or deadline for a test will be rejected without any further consideration.

WARNING: Attempts to change your grade at the end of the semester by asking the instructor to “round up” or by asking for further extra credit will be ignored.

Grading Matrix:

<table>
<thead>
<tr>
<th>Instrument</th>
<th>Value (points or percentages)</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>4 Tests</td>
<td>4 tests with 100 points each</td>
<td>300</td>
</tr>
<tr>
<td></td>
<td>(one may be dropped)</td>
<td></td>
</tr>
<tr>
<td>Assignments and Quizzes</td>
<td></td>
<td>100</td>
</tr>
<tr>
<td>Total:</td>
<td></td>
<td>400</td>
</tr>
</tbody>
</table>

Grade Determination:
A = 90% or better
B = 80 – 89.99 %
C = 70 – 79.99 %
D = 60 – 69.99 %
F = less than 60%

To determine your course grade percentage, take the total number of points that you earned (including the 3 highest Tests, Assignments, Quizzes, and Bonus credits), then divide that number by the number of total possible points, i.e. 400.
Canvas Access
All class materials, course grades, and class announcements will be posted by Canvas. To access our course, visit uttyler.edu/canvas. You are encouraged to participate in Canvas 101, a practice course designed specifically for UT Tyler students to learn and refine Canvas skills. You can practice submitting assignments, participating in quizzes, customize your profile and notifications, plus get collaboration and Canvas tips.

Important points when using Canvas:

1. Login using UT Tyler credentials. Contact itsupport@uttyler.edu for login assistance.
2. Use the HELP button at the bottom left corner of Canvas if you need assistance 24/7.
3. Reference your Student Canvas Guide for additional resources.

If you have issues with Canvas access that may hinder your completion of course assignments or quizzes, you must contact UT Tyler’s IT Support staff immediately (even on weekends) and get a ticket number. You must also inform the instructor of the issue BEFORE the due date for the assignment or quiz. Claims of Canvas access problems without a ticket number or ones reported to the instructor after the passing of the deadline will be dismissed without any further consideration. Deadlines may be extended only in case of Canvas system-wide issues reported by the university. All other Canvas-related issues are regarded as personal-level access issues and you must get them resolved without letting them interfere with your coursework. Personal-level access issues are not valid reasons to ask for deadline extension.

Course Outline
This schedule is subject to change by the instructor. Over the course of the semester, activities and items may be added, removed, or rescheduled in order to provide more information, improve accuracy, enhance learning, or correct errors. Any changes to this schedule will be communicated by instructions in class, announcements in Canvas, and/or Canvas email to students.

<table>
<thead>
<tr>
<th>TOPICS</th>
<th>TIMELINE</th>
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<tbody>
<tr>
<td>Syllabus and Introduction</td>
<td>Week 1: 01/14/19</td>
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<tr>
<td>Chapter 1: Investments: Background and Issues</td>
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<tr>
<td>Chapter 2: Asset Classes and Financial Instruments</td>
<td>Week 2: 01/21/19</td>
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<tr>
<td>Time Value of Money</td>
<td>Week 3: 01/28/19</td>
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<tr>
<td>Chapter 3: Securities Markets</td>
<td>Week 4: 02/04/19</td>
</tr>
<tr>
<td>Test 1: Chapters 1, 2, 3 &amp; Time Value of Money</td>
<td>Week 5: 02/11/19</td>
</tr>
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<td>Chapter 4: Mutual Funds and Other Investment Companies</td>
<td>Week 6: 02/18/19</td>
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<td>Chapter 5: Risk and Return: Past and Prologue</td>
<td>Week 7: 02/25/19</td>
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<tr>
<td>Chapter 6: Efficient Diversification</td>
<td>Week 8: 03/04/19</td>
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<tr>
<td>Spring Break</td>
<td>Week 9: 03/11/19</td>
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<tr>
<td>Chapters</td>
<td>Week</td>
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<td><strong>Test 2: Chapters 4, 5, 6</strong></td>
<td><strong>Week 10:</strong> 03/18/19</td>
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<td>Chapter 7: Capital Asset Pricing and Arbitrage Pricing Theory</td>
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<td>Chapter 10: Bond Prices and Yield</td>
<td><strong>Week 11:</strong> 03/25/19</td>
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<td><strong>Test 3: Chapter 7 and Chapter 10</strong></td>
<td><strong>Week 13:</strong> 04/08/19</td>
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<td>Chapter 13: Equity Valuation</td>
<td><strong>Week 14:</strong> 04/15/19</td>
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<td>Chapter 15: Options Markets</td>
<td><strong>Week 15:</strong> 04/22/19</td>
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<tr>
<td><strong>Test 4: Chapter 13 and Chapter 15</strong></td>
<td><strong>Week 16:</strong> 04/29/19</td>
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**College of Business Statement of Ethics**

The ethical problems facing local, national and global business communities are an ever-increasing challenge. It is essential the College of Business and Technology help students prepare for lives of personal integrity, responsible citizenship, and public service. In order to accomplish these goals, both students and faculty of the College of Business and Technology at The University of Texas at Tyler will:

- Ensure honesty in all behavior, never cheating or knowingly giving false information.
- Create an atmosphere of mutual respect for all students and faculty regardless of race, creed, gender, age or religion.
- Develop an environment conducive to learning.
- Encourage and support student organizations and activities.
- Protect property and personal information from theft, damage and misuse.
- Conduct yourself in a professional manner both on and off campus.

**Information that must appear in each course syllabus:**

Includes UT Tyler Honor Code, Student Rights and Responsibilities, Campus Carry, tobacco-Free University, Grade Replacement Policies, Course Drop Policies, Disability Services, Absences due to religious observances and university sponsored events, SS and FERPA, Evacuation, Student Standards of Conduct, and other resources).